

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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TRUSTEES OF THE LABORERS INTERNATIONAL  
UNION OF NORTH AMERICA LOCAL 1000  
WELFARE, PENSION, ANNUITY, VACATION,  
and TRAINING FUNDS, and LABORERS  
INTERNATIONAL UNION OF NORTH AMERICA  
LOCAL 1000,

- against -

INTERCOUNTY PAVING ASSOCIATES, L.L.C.,

Defendant.  
-----X

Plaintiffs, by their attorneys, Gellert & Klein, P.C., for their Complaint, respectfully  
allege:

1. This is an action arising under the Employee Retirement Income Security Act  
of 1974, as amended, 29 U.S.C. §1001, et seq., ("ERISA") and §301 Labor Management  
Relations Act (LMRA), 29 U.S.C. §185 to:

- (a) recover delinquent contributions owed to employee fringe benefit trust  
Funds and dues owed to the Union;
- (b) audit the books and records of defendants; and
- (c) obtain an injunction compelling defendants' compliance with a  
collective bargaining agreement.

JURISDICTION

2. The subject matter jurisdiction of this Court is invoked pursuant to §502 and  
§515 of ERISA, 29 U.S.C. §1132, §1145 and §301 LMRA, 29 U.S.C. §185.

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U.S. DISTRICT COURT  
S.D. OF N.Y. W  
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COMPLAINT  
**07 CIV 10284**  
**JUDGE CONNER**

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3. A copy of the Complaint herein is being served on the Secretary of Labor at 200 Constitution Avenue N.W., Washington, D.C., 20212 and the Secretary of the Treasury at 15th and Pennsylvania Avenue, Washington, D.C., 20220 by certified mail as required by §502 (h) of ERISA, 29 U.S.C. §1132 (h).

#### VENUE

4. This Court is one of proper venue, pursuant to §502 (e) (2) of ERISA, 29 U.S.C. §1132 (e) (2) and §301 LMRA, 29 U.S.C. §185 because the Funds are administered and maintain a principal place of business at Poughkeepsie, New York.

#### THE PARTIES

5. The Trustee plaintiffs are the trustees of the Laborers International Union of North America Local 1000 Welfare, Pension, Annuity, Vacation and Training Funds ("Funds") which are affiliated with the Laborers International Union of North America Local 1000 ("Union"). The Trustees are fiduciaries within the meaning of §3 (21) of ERISA, 29 U.S.C. §1002 (21).

6. The Welfare, Vacation and Training Funds are employee welfare benefit plans within the meaning of §3(1) of ERISA, 29 U.S.C. §1002 (1), established for the purpose of providing medical and other benefits to the employees of contributing employers. 7. The Pension and Annuity Funds are employee pension benefit plans within the meaning of §3 (2) of ERISA, 29 U.S.C. §1002 (2), established for the purpose of providing pension benefits to the employees of contributing employers.

8. The Funds constitute multi-employer employee benefit plans within the meaning of §3 (3) and (37) of ERISA, 29 U.S.C. §1002 (3) and (37).

9. The plaintiff Union is a labor organization within the meaning of §2(5) LMRA, 29 U.S.C. §152 (5).

10. Upon information and belief, defendant Intercounty Paving Associates, L.L.C., (hereinafter "Intercounty" or the "corporate defendant") is a corporation incorporated under the laws of the State of New Jersey with its principal place of business at Hackettstown, New Jersey.

FIRST CLAIM (CORPORATION UNDER §515 OF ERISA)

11. Plaintiffs repeat and reallege the allegations contained in the preceding paragraphs in their entirety.

12. At all relevant times, the corporate defendant was party to a collective bargaining agreement (CBA) with the Union. Under the terms of the CBA, the corporate defendant was and is obligated to file employment reports and make contributions to the Funds for all hours worked by its employees in covered employment.

13. Despite repeated efforts by the Funds to collect the contributions owed, the corporate defendant remains delinquent in its contributions.

14. Upon information and belief, the corporate defendant has failed to make its contractually required contributions to the Funds, for the period April 1, 2007-October 2, 2007 is estimated to be \$18,418.40. The precise figure cannot be determined because the corporate defendant has failed to submit the required accurate employment reports for those months in which it was required to make contributions under the CBA and §209 of ERISA, 29 U.S.C. §1059.

15. Upon information and belief the corporate defendant has paid no part of the contributions it owes the Funds and dues owed to the Union for this period. It is anticipated that the delinquency may grow during the pendency of this action if the corporate defendant fails to remit contributions as they become due.

SECOND CLAIM (UNDER LMRA)

16. Plaintiffs repeat and reallege the allegations contained in the preceding paragraphs in their entirety.

17. At all relevant times, the corporate defendant was party to a CBA with the Union which agreement covers employees in an industry affecting commerce as defined in the LMRA. Under the terms of the agreement, the corporate defendant was and is obligated to make and file employment reports, pay wages to its employees and make contributions to the Funds.

18. Despite repeated efforts by the Union to collect the dues and contributions owed, all defendants remain delinquent and thereby are in breach and violation of the CBA.

THIRD CLAIM (AUDIT)

19. Plaintiffs repeat and reallege the allegations contained in the preceding paragraphs in their entirety.

20. Under the terms of the CBA, LMRA and ERISA, an employer is required to make payments to the Funds and the Union based on an agreed sum or percentage for each man-hour of its hourly payroll worked or wages paid in covered employment, and to accurately report such man-hours and wages to the Funds and the Union.

21. Under the terms of the Funds' By-Laws, Declaration of Trust, Collective Bargaining Agreement, State and Federal Common Law, LMRA and ERISA, plaintiffs are entitled to audit defendants' books and records.

22. Upon information and belief, the defendants have not been reporting, and may be under-reporting, the number of employees, the number of man-hours and wages paid to its employees and contributions and dues due.

23. The Funds have made due demand upon the defendants for an audit of the defendants' books and records. This demand has been and continues to be ignored.

FOURTH CLAIM (INJUNCTION)

24. Plaintiffs repeat and reallege the allegations contained in the preceding paragraphs in their entirety.

25. Upon information and belief, the defendants may continue the failure to file employment reports and remit contributions and dues as they become due under the terms of the collective bargaining agreement.

26. The Funds and Union are thereby threatened with immediate irreparable harm by the defendants' continued failure to file employment reports and remit such contributions and dues as they become due as the defendants, during the pendency of this action, may become insolvent, judgment proof or otherwise unable to pay and the Funds and Union will be deprived of necessary income to sustain operations and information necessary to determine participants' eligibility for benefits.

27. The Funds have no adequate remedy at law.

WHEREFORE, plaintiffs respectfully request the following:

- (a) Judgment on this claim in favor of the plaintiffs against defendants Intercounty Paving Associates, L.L.C., in the amount of contributions and dues owing of \$18,418.40, plus any additional monies that may be determined to become justly due and owing to the Funds and Union during the pendency of this action and before final judgment; plus
- (b) Prejudgment interest, computed at the plan rate or applicable United States Treasury rate from the date on which the first payment was due, being seven (7) days after the start of the period as stated above on the total amount owed by defendants;
- (c) Penalty interest or liquidated damages;
- (d) Plaintiffs' attorneys' fees, auditor's fees, costs and disbursements;

- (e) An order requiring defendants to submit all books and records to plaintiffs for audit at defendants' cost;
- (f) A preliminary injunction requiring defendants to submit timely reports and make timely contributions to the plaintiff Funds and Union as they become due under the terms of and for the duration of the collective bargaining agreement;
- (g) A mandatory injunction (1) requiring defendants to submit timely reports and make timely contributions to the plaintiff Funds and Union as they become due under the terms of and for the duration of the collective bargaining agreement (2) enjoining the defendants from violating the terms of the CBA by failing to make timely contributions to the Funds and Union; (3) directing the defendants to pay all delinquent contributions to the Funds and Union and to resume making the contributions on a timely basis; (4) prohibiting the defendants from performing any masonry work (as defined by the CBA) in the geographic jurisdiction of the Union until they have complied with (1), (2), (3) above; and
- (h) Such other and further relief as the Court may deem just and proper.

Dated: November 9, 2007

~~GELLERT & KLEIN, P.C.~~

By: \_\_\_\_\_

~~STEPHEN E. EHLERS (SE 3094)~~  
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